# Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

| 1. | Name o  | Name of Listed Entity: Clariant Chemicals (India) Limited                          |  |  |  |  |  |  |  |  |
|----|---|--|--|--|--|--|--|--|--|--|
| 2. | Scrip Co  | Scrip Code/Name of Scrip/Class of Security: 506390                                 |  |  |  |  |  |  |  |  |
| 3. | Share H   | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)        |  |  |  |  |  |  |  |  |
|    | a.  | If under 31(1)(b) then indicate the report for Quarter ending 30th September, 2020 |  |  |  |  |  |  |  |  |
|    | b.  | If under 31(1)(c) then indicate date of allotment/extinguishment                   |  |  |  |  |  |  |  |  |
| 4. | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- |  |  |  |  |  |  |  |  |  |

|   | Particulars  | Yes* | No* |
|---|--|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares?                        |      | No  |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants?           |      | No  |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? |      | No  |
| 4 | Whether the Listed Entity has any shares in locked-in?                                 |      | No  |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered?               |      | No  |

<sup>\*</sup> If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

|          | t Chemicals (India) Limited<br>Summary Statement holding of specified securities |       |                             |        |   |                |  |   |                    |          |                               |            |  |                            |   |  |       |  |
|----------|--|-------|-----------------------------|--------|---|----------------|--|---|--------------------|----------|-------------------------------|------------|--|----------------------------|---|--|-------|--|
| Category |  |       | No. of<br>fully naid        | Partly | No. of shares                             | Total nos.     | of total   | d Number of Voting Rights of securities |                    |          |                               | Underlying | Shareholding,<br>as a %<br>assuming full<br>conversion of                      | Number of Locked in shares |   | Number of Shares pledged or otherwise encumbered |       | of equity                                    |
|          | Category of shareholder  |       | up equity<br>shares<br>held |        | underlyin<br>g<br>Depositor<br>y Receipts | shares<br>held | shares<br>(calculate<br>d as per<br>SCRR,<br>1957) |   | o of Voting Rights |          | Total as a<br>% of<br>(A+B+C) |            | convertible<br>securities ( as<br>a percentage<br>of diluted<br>share capital) | No. (a)                    | As a % of<br>total<br>Shares<br>held(b) | No. (a)  As a % of total Shares held(b)          |       | shares<br>held in<br>demateria<br>lised form |
|          |  |       |                             |        |   | (VII) =        | (VIII) As a  |   |                    | -        |                               |            | (XI)= (VII)+(X)  |                            |   |  | -     |  |
| (1)      | (II)   | (III) | (IV)                        | (V)    | (VI)                                      | (IV)+(V)+      | % of   |   | (IX)               |          | x)                            |            | As a % of  | (2                         | KII)                                    | ()   | KIII) | (XIV)  |
| (A)      | Promoter & Promoter Group  | 2     | 11772050                    | 0      | 0   | 11772050       | 51.0014  | 11772050                                | 0                  | 11772050 | 51.0014                       | 0          | 51.0014  | C                          | 0                                       | (  | ) (   | 11772050                                     |
| (B)      | Public   | 54690 | 11309748                    | 0      | 0   | 11309748       | 48.9986  | 11309748                                | 0                  | 11309748 | 48.9986                       | 0          | 48.9986  | C                          | 0                                       | NA   | NA    | 10926024                                     |
| (C)      | Non Promoter - Non Public  |       |                             |        | 0   |                |  |   | 0                  |          |                               | 0          |  |                            | 0                                       | NA   | NA    |  |
| (C1)     | Shares Underlying DRs  | 0     | 0                           | 0      | 0   | 0              | 0  | 0                                       | 0                  | 0        | 0                             | 0          | 0  | C                          | 0                                       | NA   | NA    | 0  |
| (C2)     | Shares Held By Employee Trust  | 0     | 0                           | 0      | 0   | 0              | 0  | 0                                       | 0                  | 0        | 0                             | 0          | 0  | C                          | 0                                       | NA   | NA    | 0  |
|          | Total  | 54692 | 23081798                    | 0      | 0   | 23081798       | 100  | 23081798                                | 0                  | 23081798 | 100                           | 0          | 100  | 0                          | 0                                       | (  | ) (   | 22698074                                     |

# Clariant Chemicals (India) Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

|     |  |            |              |          |                | No. of             |            |             |            |             |          | Shares ing , as a Underlyin % g assuming Outstandi full |            |                     |          | Number of Shares n pledged or otherwise encumbered |         | - Number          |                     |
|-----|--|------------|--------------|----------|----------------|--------------------|------------|-------------|------------|-------------|----------|---|------------|---------------------|----------|--|---------|-------------------|---------------------|
|     |  |            |              | No. of   | Partly         | shares             |            | as per      |            |             |          |   | ng         | conversio           |          |  |         |                   | of equity           |
|     |  |            |              |          | paid-up        | underlyin          |            | SCRR,       | No of Voti | ng Rights   |          |   | convertibl |                     |          | As a % of  |         |                   | shares              |
|     |  |            | I            |          | equity         | 1                  | Total nos. |             |            |             |          | Total as a  |            | convertibl          |          | total  |         | total             | held in             |
|     | Coharama O Nama afaha ahamahaldana                           | 244        | sharehold    | held     | shares<br>held | Depositor          |            | % of        | Cl V       | Cl          | T-4-1    | % of<br>(A+B+C)   | securities | _                   | N = (=)  | Shares   | N- (-)  | Shares<br>held(b) | demateria           |
|     | Category & Name of the shareholders (I)                      | PAN (II)   | ers<br>(III) | (IV)     | (V)            | y Receipts<br>(VI) | (VII) =    | (VIII) As a |            | Class eg: y |          | (A+B+C)   | (X)        | securities<br>(XI)= |          | held(b)  | No. (a) | (III)             | lised form<br>(XIV) |
| 1   | Indian   | (11)       | (111)        | (IV)     | (v)            | (VI)               | (VII) =    | (VIII) AS a |            | (I.         | ^)       |   | (^)        | (^1)=               | <u> </u> | <u> </u>   | - '     | 1                 | (XIV)               |
| (a) | Individuals / Hindu Undivided Family                         |            |              | _        | <u> </u>       |                    | 0          | 0           | 0          | _           | 0        | _   |            | <u> </u>            |          | 0 0  |         | _                 |                     |
| (b) | Central Government / State Government(s)                     |            | 0            | - 0      |                | 0                  | - 0        | 0           | 0          |             | 0        | -   |            | -                   | ,        | 0 0  | 0 0     |                   | . 0                 |
| (c) | Financial Institutions / Banks                               |            | 0            | 0        |                | 0                  | 0          | 0           | 0          | 0           | 0        | -   | 0          |                     | 1        | 0 0  |         | 0                 | . 0                 |
| (d) | Any Other (Specify)  |            | 0            | 0        |                | 0                  | 0          | 0           | 0          | 0           | 0        | -   | 0          |                     | 1        | 0 0  |         | 0                 |                     |
| (ω) | Sub Total (A)(1)   |            | 0            | 0        |                | 0                  | 0          | 0           | 0          | 0           | 0        | -   | 1 0        |                     |          | 0 0  |         | 0                 | . 0                 |
| 2   | Foreign  |            |              |          |                |                    |            |             |            |             |          |   |            |                     |          |  |         |                   | <b>—</b> "          |
| -   | 1 01 018.1   |            |              |          |                |                    |            |             |            |             |          |   |            |                     |          |  |         |                   | $\overline{}$       |
| (a) | Individuals (Non-Resident Individuals / Foreign Individuals) |            | 0            | 0        |                | 0                  | 0          | o           | 0          | 0           | 0        | o   | 0          |                     | )        | 0 0  | (       | 0                 | , o                 |
| (b) | Government   |            | 0            | 0        | C              | 0                  | 0          | 0           | 0          | 0           | 0        | 0   | 0          | C                   | )        | 0 0  | (       | 0                 | 0                   |
| (c) | Institutions   |            | 0            | 0        | 0              | 0                  | 0          | 0           | 0          | 0           | 0        | 0   | 0          | 0                   | )        | 0 0  | (       | 0                 | 0                   |
| (d) | Foreign Portfolio Investor                                   |            | 0            | 0        | 0              | 0                  | 0          | 0           | 0          | 0           | 0        | 0   | 0          | 0                   | )        | 0 0  | (       | 0                 | 0                   |
| (e) | Any Other (Specify)  |            | 2            | 11772050 | C              | 0                  | 11772050   | 51.0014     | 11772050   | 0           | 11772050 | 51.0014   | 0          | 51.0014             | ı        | 0 0  | (       | 0                 | 11772050            |
|     | Bodies Corporate   |            | 2            | 11772050 | 0              | 0                  | 11772050   | 51.0014     | 11772050   | 0           | 11772050 | 51.0014   | 0          | 51.0014             | ı        | 0 0  | (       | 0                 | 11772050            |
|     | Colorants International Ag                                   | AAICC5554E | 1            | 7662624  | 0              | 0                  | 7662624    | 33.1977     | 7662624    | 0           | 7662624  | 33.1977   | 0          | 33.1977             | 7        | 0 0  | (       | 0                 | 7662624             |
|     | Ebito Chemiebeteiligungen Ag                                 | AABCE6387L | 1            | 4109426  | C              | 0                  | 4109426    | 17.8038     | 4109426    | 0           | 4109426  | 17.8038   | 0          | 17.8038             | 3        | 0 0  | (       | 0                 | 4109426             |
|     | Sub Total (A)(2)   |            | 2            | 11772050 | C              | 0                  | 11772050   | 51.0014     | 11772050   | 0           | 11772050 | 51.0014   | 0          | 51.0014             | ı        | 0 0  | (       | 0                 | 11772050            |
|     | Total Shareholding Of Promoter And Promoter Group (A)=       |            |              |          |                |                    |            |             |            |             |          |   |            |                     |          |  |         |                   |                     |
|     | (A)(1)+(A)(2)  |            | 2            | 11772050 | 0              | 0                  | 11772050   | 51.0014     | 11772050   | 0           | 11772050 | 51.0014   | 0          | 51.0014             | l l      | 0 0  | (       | 0                 | 11772050            |

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

### Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

### Clariant Chemicals (India) Limited Table III - Statement showing shareholding pattern of the Public shareholder Shares ing, as a Number of Shares Underlyin Sharehold Number of Locked in pledged or otherwise Number Number of Voting Rights held in each class ing % of securities shares encumbered No. of calculated Outstandi full of equity as per SCRR. held in fully paid paid-up underlyin As a % of As a % of convertibl n of No of Voting Rights 1957 As Total as a total total emater up equity equity sharehold shares Depositor shares % of Class eg: % of securities Shares Shares alised shares Category & Name of the shareholders PAN held y Receipts held (A+B+C2) Class eg: y Total (A+B+C) (including securities No. (a) held(b) No. (a) held(b) (IV) (VII) = (VIII) As a (XI)= (1) (11) (III) (V) (VI) (IX) (X) (XII) (XIII) (XIV) Institutions Mutual Fund 230 230 0.001 230 230 0.001 0.001 NA 180 (b) Venture Capital Funds 0 o ol 0 ol 0 0 ONA NΔ Alternate Investment Funds 0 NA NA (d) Foreign Venture Capital Investors n o ol ONA NΔ Foreign Portfolio Investor 69598 69598 0.3015 69598 69598 0.3015 0.3015 69598 46652 46652 44377 Financial Institutions / Banks 20 46652 0.2021 46652 0.2021 0 0.2021 0 NA NA Insurance Companies 923175 923175 3.9996 923175 923175 3.9996 3.9996 0 NA NA 923175 AADCA1701E 0 3.5813 Bajaj Allianz Life Insurance Company Ltd. 826624 0 3.5813 826624 0 3.5813 826624 οl 826624 826624 ONA NA Provident Funds/ Pension Funds 0 NA 971 971 0 NA 971 Any Other (Specify) 971 0.0042 971 0.0042 0 0.0042 NA Foreign Bank 971 971 0.0042 971 971 0.0042 0.0042 0 NA NA 971 33 1040626 0 1040626 0 1040626 4.5084 4.5084 4.5084 1040626 0 0 NA NA 1038301 Sub Total (B)(1) Central Government/ State Government(s)/ President of India Sub Total (B)(2) 0 NA Non-Institutions Individuals 0 NA NA . Individual shareholders holding nominal share 36.5275 51695 843119 8431199 843119 36.5275 36.5275 8075326 capital up to Rs. 2 lakhs. 8431199 0 NA ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. 24785/ 247854 1 0738 24785/ 247854 1.0738 1.0738 227604 26996 26996 NBFCs registered with RBI 26996 0.117 26996 26996 0.117 0.117 0 NA NA 0 0 NA Trust Employee ol NA Overseas Depositories(holding DRs) (balancing figure) O NA Any Other (Specify) 1563073 1563073 1563073 0 1563073 6.7719 6.7719 1557797 2948 6.7719 0 NA NA 0 0 0 NA IEPF 112902 ol 112902 0.4891 112902 112902 0.4891 0.4891 NA 112902 7116 0.0308 7116 0.0308 5166 7116 7116 0.0308 0 NA 0.001 225 Foreign Nationals 225 225 0.001 225 225 0.001 ol O NA NA 1.9681 1556 454278 454278 454278 454278 1.9681 454108 Hindu Undivided Family 1.9681 0 NA 427 162115 162115 162115 0 0 0.7023 0 NA 160991 Non Resident Indians (Non Repat) ol 0.7023 162115 0.7023 NA Non Resident Indians (Repat) 461 156327 156327 0.6773 156327 0 156327 0.6773 0 0.6773 0 NA NA 155916 Office Bearers 51 1584 1584 0.0069 1584 1584 0.0069 0.0069 0 NA NA Clearing Member 79 19739 19739 0.0855 19739 19739 0.0855 0.0855 0 NA NA 19739

648787

2.8108

0 10269122 44.4901 10269122

0 11309748 48.9986 11309748

648787

0 648787

0 10269122

0 11309748

2.8108

44.4901

48.9986

0 2.8108

0 44.4901

48,998

648750

9887723

10926024

0 NA

0 NA

0 NA

NA

NA

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

364 648787

54657 10269122

54690 11309748

| No. of shareholders | No. of Shares |
|---------------------|---------------|
| 290                 | 37557         |

### Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

**Bodies Corporate** 

Sub Total (B)(3)

Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

| Clarian  | t Chemicals (India) Limited                              |                |             |            |         |            |            |             |  |             |       |             |            |                  |                         |                  |         |           |            |
|----------|--|----------------|-------------|------------|---------|------------|------------|-------------|--|-------------|-------|-------------|------------|------------------|-------------------------|------------------|---------|-----------|------------|
| Table IV | - Statement showing shareholding pattern of the No       | n Promoter- No | on Public s | sharehold  | ler     |            |            |             |  |             |       |             |            |                  |                         |                  |         |           |            |
|          | <u> </u>   |                |             |            |         |            |            |             |  |             |       |             |            |                  |                         |                  |         |           |            |
|          |  |                |             |            |         |            |            |             |  |             |       |             | Shares     | Shares ing, as a |                         |                  |         |           |            |
|          |  |                |             |            |         |            |            | Sharehold   |  |             |       | Underlyin % |            |                  |                         | Number of Shares |         |           |            |
|          |  |                |             |            |         |            |            | ing %       | Number of Voting Rights held in each class |             | '     |             |            |                  | in pledged or otherwise |                  |         |           |            |
|          |  |                |             |            |         | No. of     |            | calculated  | of securities                              |             |       | Outstandi   |            | shares           |                         | encumbered       |         | Number    |            |
|          |  |                |             | No. of     | Partly  | shares     |            | as per      |  |             |       | ng          | conversio  |                  |                         |                  |         | of equity |            |
|          |  |                | 1           | fully paid | paid-up | underlyin  |            | CCDD        | No of Voting Rights                        |             |       | convertibl  | 1          |                  | As a % of               |                  |         | shares    |            |
|          |  |                | Nos. of     | up equity  | 1.      | g          | Total nos. | 1957 As a   | NO OT VOU                                  | ng Kignts   | 1     | Total as a  | e          | convertibl       |                         | total            |         | total     | held in    |
|          |  |                | sharehold   |            | shares  | Depositor  | shares     | % of        |  |             |       | % of        | securities | e                |                         | Shares           |         | Shares    | demateria  |
|          | Category & Name of the shareholders                      | PAN            | ers         | held       | held    | y Receipts | held       | (A+B+C2)    | Class eg: X                                | Class eg: y | Total | (A+B+C)     | (including | securities       | No. (a)                 | held(b)          | No. (a) | held(b)   | lised form |
|          | (1)  | (11)           | (111)       | (IV)       | (V)     | (VI)       | (VII) =    | (VIII) As a |  | (           | X)    |             | (X)        | (XI)=            | (2                      | (II)             | (X      | (III)     | (XIV)      |
| 1        | Custodian/DR Holder                                      |                | 0           | 0          | 0       | 0          | 0          | 0           | 0  | 0           | 0     | (           | ) (        | 0                |                         | 0                | NA      | NA        | 0          |
|          | Employee Benefit Trust (under SEBI (Share based Employee |                |             |            |         |            |            |             |  |             |       |             |            |                  |                         |                  |         |           |            |
| 2        | Benefit) Regulations, 2014)                              |                | 0           | 0          | 0       | 0          | 0          | 0           | 0  | 0           | 0     | (           | ) (        | ) a              | ) c                     | 0                | NA      | NA        | 0          |
|          | Total Non-Promoter- Non Public Shareholding (C)=         |                |             |            |         |            |            |             |  |             |       |             |            |                  |                         |                  |         |           |            |
|          | (C)(1)+(C)(2)  |                | 0           | 0          | l o     | l 0        | 0          | l o         | o  | ol o        | l 0   | (           | ) (        | ol d             | ) c                     | ) o              | NA      | NA        | l o        |

# Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.